Authorship, Peer Review and Publication of Research Outputs Policy

1. STRATEGIC PLAN THEME AND COMPLIANCE OBLIGATION SUPPORTED

Strategic Plan Theme: Research and Innovation
Australian Code for the Responsible Conduct of Research

2. PURPOSE

To support academic integrity in the publication, peer review and dissemination of research.

3. POLICY STATEMENT

This policy is based on guidelines in the Australian Code for the Responsible Conduct of Research (The Code). This policy supports Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals (Vancouver Protocol), and guidelines issued by the Committee on Publication Ethics (COPE).

2.1. Academic freedom

2.1.1. An academic staff member may speak, write and publish, or artistically perform, create and exhibit, without being subject to institutional censorship or discipline in accordance with the Academic Freedom and Freedom of Speech Policy.

2.2. Authorship

2.2.1. The outcomes of research may be disseminated in a variety of ways but enduring forms are of particular importance and to be an author for such a form is meritorious.

2.2.2. To be named as an author, a researcher will have:

a) made a substantial contribution to the work and be able to take responsibility for at least that part of the work they contributed; and

b) agree to be an author.

Procedures for authorship eligibility are outlined in the Authorship, Peer Review and Publication of Research Outputs Procedures.

2.3. Peer review

2.3.1. Participation in peer review processes will be encouraged and supported to promote research integrity.

2.3.2. Participants in peer review will:

a) Be fair and timely in their review
b) Act in confidence and not disclose the content or outcome of any process in which they are involved
c) Declare all conflicts of interest, not permit personal prejudice to influence the peer review process, and not introduce considerations that are not relevant to the review criteria
d) Not take undue or calculated advantage of knowledge obtained during the peer review process
e) Ensure that they are informed about, and comply with, the criteria to be applied
f) Not agree to participate in peer review outside their area of expertise
g) Give proper consideration to research that challenges or changes accepted ways of thinking.

2.4. FAIR and open access to knowledge

2.4.1. Curtin is committed to
FAIR Data principles which are that publicly funded research outputs will be Findable, Accessible, Interoperable and Reusable; and

The principles of open scholarship which encompasses open access, open data, open science, open educational resources, and all other forms of openness in the scholarly and research environment.

Every endeavour will be made to make research outputs available online as soon as possible after publication via an open access journal or repository, taking into account any legal or contractual obligations.

Authors’ responsibilities

Each author is responsible for the accuracy, validity, originality, and integrity of the research that they contributed to the published work.

Authors will ensure that all those who have contributed to the research, facilities or materials are properly acknowledged, such as funding bodies, research assistants and technical writers. Where individuals are to be named, consent will be obtained as outlined in the Authorship, Peer Review and Publication of Research Outputs Procedures.

Where a publication has several authors, one author will be appointed executive author and take responsibility for recording authorship and managing communications about the publication with the co-authors and the editor/publisher.

Where work includes or builds on third-party copyright materials, the authors are responsible for seeking permission of the copyright holder.

Authors will ensure that all collaborating authors are aware of and comply with The Code.

Researchers’ responsibilities

Researchers are responsible for informing sponsors of research of the importance of peer review and publication of research results including open access.

Researchers whose work is undergoing peer review will not seek to influence the process or outcomes.

Supervising researchers will assist trainee researchers in developing the necessary skills for peer review and understanding their obligation to participate.

SCOPE OF POLICY

This policy applies to University staff, University Associates and research students.

DEFINITIONS

Peer Review
The impartial and independent assessment of research by others working in the same or a related field. Peer review has a number of important roles in research and research management, in the assessment of grant applications, in selecting material for publication, in the review of performance of researchers and teams, and in the selection of staff. Peer review provides expert scrutiny of a project, and helps to maintain high standards and encourage accurate, thorough and credible research reporting.

Publication of research outputs
All forms of dissemination including academic journals and books, non-refereed publications including web-pages, and other media such as exhibitions and films, and discipline-based professional and institutional repositories.

Research output
Refers to peer reviewed works such as books, book chapters, journal articles and conference papers; creative research outputs such as original creative works, live performance of creative works, recorded/rendered creative works and curated or produced public exhibitions and events; and scholarly outputs which are not peer reviewed, such as technical or working papers, scholarly book reviews or legal submissions.
6. SUPPORTING PROCEDURES
   Authorship, Peer Review and Publication of Research Outputs Procedures

7. RELATED DOCUMENTS/LINKS

   Internal
   Academic Freedom and Freedom of Speech Policy
   Conflict of Interest Procedures
   Curtin’s Open Access Guidance Document
   Curtin University Code of Conduct
   Intellectual Property Policy
   Intellectual Property Procedures
   Research Management Policy

   External
   Australian Research Council Open Access Policy
   National Health and Medical Research Council Open Access Policy
   The Australian Code for the Responsible Conduct of Research
   Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals (Vancouver Protocol)
   Committee on Publication Ethics
   CAUL Statement on Open Scholarship

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<th>Policy Compliance Officer</th>
<th>Catherine Gangell, Manager, Research Integrity</th>
<th>Research Office at Curtin</th>
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<tr>
<td>Policy Manager</td>
<td>Deputy Vice-Chancellor, Research</td>
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REVISION HISTORY

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