Travel Procedures

1. STRATEGIC PLAN THEME AND COMPLIANCE OBLIGATION SUPPORTED

Purchasing and Payment Policy
Risk Management Policy

Strategic Plan Theme: Sustainable Future

2. PROCEDURAL DETAILS

Travel for University business purposes - regardless of funding source or who pays - will be:

(a) managed with due regard for travel risk, allowing the University to meet its duty of care; and

(b) undertaken with appropriate financial controls.

2.1. Approval of travel for business related purposes

2.1.1. Travel involving:

(a) air travel; and/or

(b) overnight accommodation

is to be approved by a Travel Approver prior to booking the travel.

All such travel requests will be made and approved if appropriate through the University’s Travel Operations Portal (TOP).

2.1.2. Heads of Organisational Units will ensure that any travel not covered by section 2.1.1 is approved prior to the travel being undertaken and that the whereabouts of the traveller are known.

2.1.3. Aspects of a travel request that require approval are:

(a) the purpose of the travel;

(b) the estimated travel costs (note that only estimated costs are required in the request. i.e. no formal quotes);

(c) any request to exceed the class of travel as outlined in section 2.7 Travel booking: class of travel; and

(d) travel to a destination having an alert level of “do not travel” or “reconsider your need to travel” as assigned either by the Department of Foreign Affairs and Trade (DFAT) or by Curtin on the Risk and Assurance website as outlined in sections 2.1.4 to 2.1.5.

2.1.4. Travel to a destination having an alert level of “do not travel” is to be approved by an appropriately delegated approver (refer section 3.4) with consideration given to the risk appetite considerations outlined in Schedule 1. In particular, prior to commencing a travel request:

(a) The traveller will prepare a written risk assessment and business case detailing the requirements and benefits of travel in consultation with Risk & Assurance. The risk assessment must address the University’s travel risk appetite as set out at Schedule 1;

(b) The traveller will obtain a security and medical briefing from the University’s travel assistance provider, which is to be included in the risk assessment; and

(c) To ensure that the risk exposure relating to the location is understood, including adjustments for additional costs for security and other measures as recommended by Risk & Assurance, the traveller’s line manager and Executive Manager are required to review and support (or not) the business case and risk assessment prior to forwarding to appropriately delegated approver.
2.1.5. Travel to a destination having an alert level of “reconsider your need to travel” is to be approved by an appropriately delegated approver (refer section 3.4). As part of the travel request:

(a) The traveller will prepare a written risk assessment and business case detailing the requirements and benefits of travel. The risk assessment will address relevant factors of the University’s travel risk appetite as set out at Schedule 1.
(b) the traveller will obtain a security and medical briefing from the University’s travel assistance provider, which is to be included in the risk assessment; and
(c) Risk & Assurance will review requests sent through TOP and assess whether all relevant factors have been adequately addressed.

2.1.6. If the geopolitical and security situation in the destination is escalating with a high risk of detainment or serious harm to the traveller, in exceptional circumstances travel may be approved by the Vice-Chancellor only. In these circumstances, neither the University nor the Australian Government may be in a position to arrange for emergency medical treatment, evacuation or repatriation for the traveller. Where a traveller is already within that destination they are to make arrangements with the University and/or the University’s travel assistance provider to return safely.

2.2. Risk Management

2.2.1. The University will assist, arrange for medical assistance, repatriate or evacuate travellers (or any combination of these) where necessary for medical or other emergencies where the traveller is travelling for University business purposes as approved per section 2.1.

2.2.2. While travelling, the traveller will carry the telephone number of the University’s travel assistance provider, download the mobile application, utilise the check-in functions within the mobile application and will contact the provider when experiencing a medical or security emergency.

2.2.3. Where an incident such as a natural disaster, civil unrest, terrorist act or similar has occurred, and the traveller is contacted by the travel assistance provider, the traveller will make every endeavour to return contact and notify that they are safe and identify any assistance they require.

2.2.4. For approved travel to a destination with an alert level of “do not travel” or “reconsider your need to travel” assigned by either DFAT or Curtin (refer sections 2.1.4 - 2.1.5), the traveller will:

(a) complete registration with DFAT Smartraveller prior to commencing travel (where eligible);
(b) ensure that their emergency contact (next of kin) details in Employee Kiosk are up to date;
(c) carry at all times a mobile telephone that is set up for international roaming and provide the number to the travel facilitator for updating the traveller profile. Where an international roaming number is not viable, the traveller will provide their WhatsApp contact number or alternative contact details (such as Skype or FaceTime);
(d) notify Curtin that they are safe, in the event of a natural disaster, civil unrest, terrorist act or similar;
(e) keep in regular contact with their nominated University supervisor (weekly at a minimum) by email or telephone or as agreed; and
(f) if DFAT assigns an alert level of “do not travel” or “reconsider your need to travel” after arrival, the traveller will register with their embassy or consulate immediately and remain alert for communications from the University by telephone, email or mobile phone application.
2.2.5. The Vice-Chancellor or Provost, on recommendation from the Director Risk & Assurance, may impose a complete travel ban to certain destinations and may require travellers to alter their travel itinerary or to return home. Travellers will comply with all such plans and directives.

2.2.6. Australian sanctions law imposes restrictions on certain locations. Travellers will check the DFAT sanctions website to ensure compliance when travelling to a sanctioned location.

2.2.7. Limitations are recommended when Executive Managers and/or Council members are travelling together, due to the risk of a serious accident occurring during travel which may impact the University’s leadership. Specifically, the following travelling combinations should be avoided, when travelling together by air:

1. The Chancellor and the Pro Chancellor;
2. The Vice-Chancellor and the Provost;
3. A Pro Vice-Chancellor and their Deputy Pro Vice-Chancellor; and
4. A majority of members of Council and/or its committees

There may be circumstances where lack of available carriers constrain application of this restriction. Such circumstances will be considered on a case by case basis, in consultation with the Director Risk & Assurance.

2.2.8. Travellers will also comply with Information Security Risk protocols and directives for identified countries per the Digital and Technology Solutions (DTS) Information Security Advisory for Travel to High Information Security Risk Countries.

2.2.9. Fieldwork Supervisors/Coordinators are responsible for mandating travel risk protocols, including being available when called upon to manage travel incidents and emergencies that relate to travel that is not required to be documented in the Travel Operations Portal (refer section 2.1.2).

2.2.10. When travelling internationally, travellers will seek and follow advice from the University’s travel assistance provider or their treating doctor and will ensure that recommended vaccinations are undertaken, at least six weeks prior to travel to ensure immunisation.

2.3. Insurance

2.3.1. Travellers undertaking approved University business travel will be covered under the University's travel insurance policy subject to the following conditions:

(a) travel is approved through the TOP system prior to travel;
(b) travellers with a pre-existing medical condition(s) will declare it in TOP and obtain a fitness-to-travel medical certificate from their treating doctor prior to travel;
(c) travellers who have sixteen weeks pregnancy (or more) at the time of air travel will declare it in TOP and obtain a fitness-to-travel medical certificate from their treating doctor prior to travel; and
(d) travellers requiring a fitness-to-travel medical certificate as per section 2.3.1 will keep the certificate on their person during travel.

2.3.2. Incidental private travel, undertaken in conjunction with University business travel, is covered up to a maximum of two days. It is the responsibility of the traveller to source and fund any personal insurance they may require for any private travel component that exceeds the two day allowance.

2.3.3. The University will extend its business travel insurance to an accompanying partner/spouse, dependant/s or both (age limits apply), provided they are declared in the approved TOP request, and are travelling with, or travelling separately from but with the intention to meet and continue travelling with, the Curtin traveller for the purpose of approved University business.
2.3.4. For domestic and international vehicle rental, travellers are required, at the time of renting the vehicle, to purchase comprehensive motor vehicle insurance, incorporating property damage and third party liability. The University corporate travel insurance policy only covers the vehicle rental insurance excess.

2.4. Travel diary

2.4.1. Travellers who are University staff will confirm post-travel their final travel diary in TOP for all completed travel (domestic and international) that has a total travel period of more than five days.

2.5. Use of the University’s Travel Management Company (TMC)

2.5.1. Air travel that is paid in full or in part using University funds will be booked by a travel facilitator through the TMC, except local air travel originating outside Australia, which can be booked with a local supplier (i.e. a local airline or a local travel agent), after consultation with the Travel Operations team, if savings can be realised.

2.5.2. Details of air travel and accommodation not booked through the TMC will be provided to the travel facilitator for manual entry into the University’s Travel Tracker System.

2.6. Travel booking: lowest practical fare

2.6.1. The lowest practical fare complying with the class of travel as outlined in section 2.7 will be booked for the traveller when the air fare is paid in full or in part using University funds.

2.6.2. In liaison with the TMC and the traveller, the travel facilitator will determine the lowest practical fare taking into consideration (among other factors):
   
   (a) airline scheduling including departure and arrival times, travel time and time in transit;
   
   (b) the ability to provide connecting flights;
   
   (c) price, taking into account relevant service costs that are included/excluded from the published fare (e.g. meal, baggage allowance);
   
   (d) fare conditions to suit business travel requirements; and
   
   (e) the need to accompany another University traveller whose itinerary has been booked and business needs require them to travel together.

2.6.3. Airline preferences, airline reward programs and preferences of accompanying family members will not be considerations in determining the lowest practical fare for the traveller.

2.7. Travel booking: class of travel

2.7.1. All travel will be in economy class except for:

   (a.) the Chancellor, Vice-Chancellor and Executive Managers who may choose to travel business class; and

   (b.) any Council member who has been approved by the Vice-Chancellor, following consultation with the Chancellor as necessary, to travel at another class.

2.7.2. Air travel is only permitted on airline carriers rated safe as recommended by Airline Ratings Australia. Carriers on the banned list published by the European Union (EU) Air Safety List will not be considered.

2.7.3. Business class and premium economy travel may be undertaken by other staff in exceptional circumstances and when pre-approved by the Vice-Chancellor or an Executive Manager. Such requests will be considered on a case-by-case basis. In using this discretion, a number of circumstances may be considered, including when:

   (a) the traveller has a temporary and unusually high number of trips to undertake over a short period (e.g. five trips in one month); or

   (b) a medical certificate of a disability affecting travel requirements is provided.
2.8. **Upgrades**

2.8.1. Travellers may choose to use personal funds or loyalty reward points to upgrade to a higher class of travel. When making this choice there can be no increase in the cost to the University and any increase in cost as a result of this will be paid for by the employee.

2.8.2. Upgrades using personal funds will be arranged directly with the TMC at the time of booking.

2.8.3. The traveller is to pay the difference between the lowest practical fare and the upgraded fare. Upgrades using loyalty reward points will be arranged directly between the traveller and their airline.

2.9. **Travel booking amendments**

2.9.1. Amendments to ticketed airfares will be kept to a minimum. That is, bookings will only to be made once itinerary requirements are reasonably firm.

2.9.2. Amendments to approved travel may only be made by a travel facilitator. The travel facilitator will determine, considering factors such as materiality, whether the amendment requires additional approval.

2.9.3. In exceptional circumstances where time restrictions or available technology do not allow for the travel facilitator to make the amendment as per 2.9.2, the traveller may amend a booking either directly with the TMC or with the appropriate supplier.

2.9.4. The traveller will keep the travel facilitator informed of any amendments to their itinerary during travel to enable the Travel Tracker System to be updated.

2.10. **Travel booking: Accommodation**

2.10.1. **Hotel accommodation**

The University has access to a significant number of attractive hotel rates globally. These should be utilised wherever practicable and are available through the Travel Facilitators. Use of other hotels outside the University’s hotel program are permitted (for example where a conference is located in a hotel) and will be included in the travel approval request (including the approved budget) in TOP.

2.10.2. **Airbnb**

Travellers may use Airbnb accommodation, provided:

(a) the booking is made through a Travel Facilitator;

(b) the Airbnb accommodation is not in a “reconsider your need to travel” or “do not travel” destination as determined by DFAT or Curtin;

(c) the Airbnb accommodation is an entire home (i.e. it cannot be part of a home);

(d) the traveller’s Curtin email address has been added to their Airbnb profile for travel tracking and security purposes; and

(e) the traveller agrees to and meets the terms and conditions of Airbnb.

2.11. **Visitors and contractors who travel at the cost of the University**

2.11.1. The approval of travel funded by the University for visitors and contractors will be determined on a case-by-case basis utilising TOP.

2.11.2. If it is agreed that the University arranges such travel, then airfares will be booked through the TMC.

2.11.3. If it is agreed that the University will provide travel insurance to the visitor or contractor, then the details of the travel will be provided to a travel facilitator for updating into the Travel Tracker System.

2.11.4. The standards outlined in section 2.7 (Travel booking: class of travel) apply to visitors and contractors who travel at the cost of the University.
2.12. Travel with accompanying family members

2.12.1. Travellers may be accompanied by a partner/spouse, dependant or both. At the traveller’s request the travel facilitator may arrange bookings for accompanying family through the TMC. Alternatively, the traveller may arrange for their flight booking to be linked to their accompanying family members directly with their airline. University funds will not be used to pay for family members.

2.12.2. Accompanying family members may not be covered by the University’s travel insurance (refer section 2.3).

2.13. Other provisions

2.13.1. The University will pay for necessary visas and immunisations for approved international travellers.

2.13.2. The University will pay for membership of airline priority clubs for frequent travellers on a case by case basis where approved by an Executive Manager.

2.13.3. The University will pay the nomination fee to airline priority clubs once. Where a traveller allows a membership to lapse and wishes to re-join, the University will not pay a second nomination fee.

2.13.4. The University will not pay for seat selection when this is not included in the base fare.

2.14. Exemptions to these procedures

2.14.1. Exceptional circumstances may exist where an exemption from a requirement of these procedures is warranted. The Chief Financial Officer or nominee may, in writing, grant such an exemption.

2.14.2. In considering any application for exemption, the Chief Financial Officer or nominee may seek advice from:

(a) the Director Risk & Assurance for risk and/or insurance matters; or
(b) the Director Finance and Disruption on all other matters.

3. RESPONSIBILITIES

In addition to any responsibilities set out in section 2:

3.1. Travellers are responsible for:

(a) requesting approval for travel in TOP to ensure compliance with these procedures;
(b) checking the accuracy of their travel documentation as soon as practicable;
(c) understanding the conditions of their bookings and whether they are able to be changed, cancelled or are non-refundable;
(d) ensuring that passports, relevant visas are secured prior to undertaking travel;
(e) when travelling internationally, seeking and following advice from the University’s travel assistance provider or their treating doctor and ensuring that recommended vaccinations are undertaken, at least six weeks prior to travel in accordance with the requirements of section 2.2.10; and
(f) ensuring that they complete the acknowledgement of the pre-trip advisory email sent out by the University’s travel assistance provider.

3.2. Travel approvers are responsible for actioning approval requests in accordance with their delegated authority within one business day of receiving the completed request, unless the request is to a destination having an alert level of “do not travel” or “reconsider your need to travel” as determined by DFAT or Curtin.

3.3. In the event that an area wishes to have a person check requests prior to approval then that person will be known as a travel reviewer. Travel reviewers are responsible for:

(a) reviewing submitted travel requests for completion and correctness; and
(b) allocating the travel request to the appropriate travel approver.
3.4. Travel approval authorities are summarised in the following table:

<table>
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<tr>
<th>Approval authorities</th>
<th>Purpose of travel and budget estimate</th>
<th>Exceeding Travel Standard</th>
<th>Exemptions from Travel Procedures</th>
<th>Travel to ‘reconsider your need to travel’ destinations*</th>
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* as determined by either DFAT or Curtin

4. SCOPE OF PROCEDURES

These procedures apply to:

(a) Travellers (including those on an academic study program); and

(b) Visitors and contractors whose travel is paid for by the University.

5. DEFINITIONS

(Note: Commonly defined terms are located in the Curtin Common Definitions. Any defined terms below are specific to this document)

**Booking**

The request to issue a ticket or confirmation of a reservation by committing funds.

**Dependant**

A person under 19 years of age (or under 25 years of age if in full-time education) and primarily dependent on the traveller, the traveller’s partner/spouse or both.

**Lowest practical fare**

The lowest priced logical fare to allow the traveller the convenience and flexibility to complete the business purpose effectively and safely.

**Private travel**

Travel that is not for University business purposes.

**TMC**

Travel Management Company contracted to the University to provide travel management services.

**Travel Operations Portal (TOP)**

The University’s corporate system used to request and approve travel.

**Travel approver**

An Executive Manager or a University officer authorised by an Executive Manager to approve domestic travel, international travel or both.

**Travel assistance provider**

An organisation contracted to the University that provides 24-hour assistance services for medical, travel and security matters, including emergencies.

**Travel facilitator**

A person who has been approved to book travel for and on behalf of travellers.
Travel tracker system
A database linked to the TMC that reports the destination and contact details of travellers.

Traveller
A person (not a University visitor, contractor or student) on approved University business away from the primary place of work or study regardless of who pays or the source of funding for the travel.

6. SCHEDULES
Schedule 1: Risk appetite considerations

7. RELATED DOCUMENTS/LINKS/FORMS
Policies and procedures
- Purchasing Procedures
- Travel Procedures for Students
- Academic Study Program Procedures

Relevant webpages and documents
- DFAT Smartraveller webpage
- DFAT Sanctions webpage
- DTS Information Security Advisories
- Curtin Risk and Assurance website
- Curtin Travel Webpage Fieldwork Safety Guidelines
- Fitness-to-travel medical certificate
- Incidental Expenditure Guidelines
- Travel Approval Form
- Travel Insurance Policy
- Travel Operations Portal (TOP)
- Claim for Mileage/Motor Vehicle Allowance
- Airline Ratings Australia
- European Union (EU) Air Safety List

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## REVISION HISTORY

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Schedule 1: Risk appetite considerations

Travel to “Do Not Travel” DFAT and Curtin rated destinations is generally not approved because of the extreme risk to the health, safety and security of the traveller. However, travel may be approved for “Do Not Travel” countries where the individual proposing to travel to the region is able to reduce the risk level from “extreme” to a level no more than “high”, as determined by the Director Risk and Assurance, considering each of the following factors:

The traveller:

i is domiciled in that country, has recently (up to one year) left the country for Australia, has in-country close family connections or has recent on-the-ground experience in the country or region (as appropriate); and

ii has infrastructure support in-country; and

iii has demonstrated capability of being situationally aware; and

iv has the active support of the Head of School/Area; and

v there is a workable evacuation plan with a 24/7 monitoring and communication plan.

Similarly, approval of travel to “Reconsider Your Need to Travel” countries will consider whether the factors outlined above have been adequately addressed where relevant.